

NO PROBLEMS NC

NO DATA ANALYSIS

Root Cause identified not good

~~Since no process~~

Company has declared that there is neither process non-conformities nor customer complaints received during the period.

~~Company has analysed only the~~

only evidence available regarding analysis

observed data was Cassden Statistics.

com has not taken



**INDEXPO CERTIFICATION LIMITED  
MANAGEMENT SYSTEMS CERTIFICATION SCHEME  
AUDIT PLAN SURVEILLANCE - QMS**

**Name of the organization:** Lallans Sports Goods Manufacturers (Pvt) Ltd  
**Assessment site:** 30/2, Colombo Road, Malangama, Hidellana, Ratnapura  
 Site I – Industrial Zone, stage II, Paradise, Kuruwita.  
**Type of audit:** Surveillance I  
**Applicable standard:** ISO 9001 : 2015  
**Scope:** Activities pertaining to manufacturing of Nursery playground equipment's.  
**Objective:** To determine compliance of the QMS with the requirements of ISO 9001:2015  
**Date of Audit:** 2019-01-24

**Audit Team**

| <u>Name</u>                       | <u>Position</u> |
|-----------------------------------|-----------------|
| Mr. D. N. S. Kuruppumullage(DNSK) | Team Leader     |
| Mr. Isuru Ilangakoon (II)         | Team Member     |
| Ms. Buddhika Sajeewani            | Observer        |

0930 h – 1000 h - Opening meeting  
 1000 h – 1030 h - Review of documents  
 1030 h – 1045 h - Tea Break

| Time            | Management  | Management/HR  |
|-----------------|---|--|
| 1045 h - 1200 h | Understanding the Organization and its context (4.1)<br>Understanding the needs and expectation of interest parties (4.2)<br>Determining the scope of QMS (4.3)<br>QMS and its Processes (4.4)  | Resources (7.1)<br>General (7.1.1)<br>People (7.1.2)<br>Infrastructure (7.1.3)<br>Environment for the operation of processes (7.1.4)<br>Organizational knowledge (7.1.6) |
|                 | DNSK  | II   |
| 1200 h – 1300 h | Leadership and commitment (5.1)<br>Customer focus (5.1.2)<br>Policy (5.2)<br>Organizational roles, responsibilities and authorities (5.3)   | Competence (7.2)<br>Awareness (7.3)<br>Communication (7.4)<br>Documented information (7.5)<br>Monitoring, measurement, analysis and evaluation (9.1)                     |
|                 | DNSK  | II   |
| 1300 h – 1345 h | <b>LUNCH</b>  |  |
|                 | <b>Management</b>   | <b>Sales/Management</b>  |
| 1345 h – 1500 h | Actions to address risk & Opportunities (6.1)<br>Quality objectives & Planning to achieve them (6.2)<br>Planning of changes (6.3)   | General (9.1.1)<br>Customer satisfaction (9.1.2)<br>Analysis and evaluation (9.1.3)<br>Internal Audit (9.2)<br>Management Review (9.3)                                   |
|                 | DNSK  | II   |
| 1500 h – 1630 h | <b>Management/Process</b><br>Operational planning & control (8.1)<br>Requirements for products & services (8.2)<br>Design & Development of products & Services (8.3)<br>Control of externally provided processes, products & Services (8.4)<br>Production & service provision (8.5)<br>Release of products & services (8.6)<br>Control of nonconforming outputs (8.7)<br>Monitoring & measuring resources (7.1.5) | <b>Management/Process</b><br>General (10.1)<br>Nonconformity and corrective action (10.2)<br>Continual improvements (10.3)   |
|                 | DNSK  | II   |

1630 h - 1700 h Auditors' review  
 1700 h – 1730 h Closing meeting (Confirmation of Scope/ Acknowledgment of NCRs/ Use of logo/ Handing over of report)

Doc. No. : QP-11-PL-04

Issue No. : 02

Issue Date : 2017-03-21

Revision No: 00

Revision Date: --

Reviewed and approved by : Director

Issued by : Management Representative