



IND-EXPO CERTIFICATION LIMITED

INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME

STAGE I AUDIT REPORT

ISO 22000:2018

IVY HILLS TEA FACTORY (PVT) LTD



**INDEXPO CERTIFICATION LIMITED
MANAGEMENT SYSTEMS CERTIFICATION SCHEME
STAGE I AUDIT REPORT –ISO 22000:2018**

1. NAME OF ORGANIZATION : Ivy Hills Tea Factory (Pvt) Ltd

2. ADDRESS OF HEAD OFFICE : Udalamatta, Galle

3. FACTORY/LOCATIONS/SITES/OUTLETS : Same as 2

4. CONTACT DETAILS

4.1 Name : Mr. B.T. Dias (Mr. Ajantha Nanayakkara)

Designation : Managing Director (Factory Manager)

4.2 Tel : - Mob: 077-7724847 (077-3028151) **Fax : -**

E-mail : hills@ivyhills.lk

5. APPLICABLE STANDARD : ISO 22000:2018

6. FILE NO. :

7. SCOPE OF CERTIFICATION : Activities pertaining to manufacturing of black tea

8. APPLICABLE SECTOR : C IV

10. NO. OF EMPLOYEES : 40

11. DATE OF AUDIT : 2021/06/22

12. TYPE OF AUDIT : Stage I

13. AUDIT TEAM :

Mr. Tharindu Hettiarachchi (TH) Team Leader



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14. AUDIT OBJECTIVES:

The objectives of this audit were:

- to confirm that the management system has been planned to conform with all the requirements of the audit standard including availability of documented information required;
- to understand the scope of the management system of the client with regards to operational sites, processes, equipment and the complexity of the operation(levels of controls established particularly in case of multiple site), applicable statutory, regulatory and contractual requirements;
- to confirm the readiness and planned arrangements for the Stage 2 audit.

15. FINDINGS OF THE AUDIT:

| SERIAL NO. | RELEVANT CLAUSE IN ISO 22000:2018 | FINDING | REMARKS |
|------------|-----------------------------------|--|--------------|
| 1 | 5.3.2 | Roles and responsibilities has not been assigned as per the standard requirements. Ex: FSTL | } Corrected. |
| 2 | 8.5.4.3 b | OPRP 3 and 4 monitoring criteria has not been documented. | |
| 3 | 8.3 | Fire extinguishers were expired. | |
| 4 | 8.8.1 c | Chemical parameters has not been checked in the product testing | |
| 5 | 7.2 d | Training evaluation has not been done. | |
| 6 | 7.5 | Certain documents are not Controlled | |
| 7 | 8.2.4.f | Food grade declaration for packing materials is not available at the time of audit. | |

16. ANY DEVIATIONS FROM THE AUDIT PLAN AND REASONS: None

17. ANY SIGNIFICANT ISSUES IMPACTING ON THE AUDIT PROGRAMME: None

Doc. No.: QP-05-F-03
Revision No:00
Reviewed and approved by : Director

Issue No. : 09

Issue Date : 2016-08-01
Revision Date: 2019-06-01
Issued by : Management Representative



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18. OVERALL CONCLUSIONS:

- The Stage 1 audit was successful in meeting the stated objectives: YES NO
If NO please mention the reasons:

Audit has been carried out on sample basis and the available information. consideration to the findings identified on the report the overall conclusions of the audit are as follow:

- The management system has been planned to conform with all the requirements of the audit standard: YES NO
- The management system is designed to achieve the organization's policy and food safety objectives: YES NO
- Based on the information provided, the system is designed to identify and manage compliance with statutory, regulatory and contractual requirements: YES NO
- The Prerequisite programs (PRP(s)) are sufficiently established: YES NO
- Methodology and parameters used for selection/categorization between OPRP and CCP are specified in documents and monitoring record are available: YES NO
- Verification, validation of appropriate controls have been done: YES NO
- The internal audit and management review planning assure appropriate coverage prior to the Stage 2 Audit: YES NO
- Appropriateness of the certification scope: YES NO

Signature of Team Leader : Dhanshika Date: 2021/06/22



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- Page 04 of 04 is for internal purposes only.

1. RECOMMENDATION BY AUDIT TEAM :

Recommended for Stage 2 audit ~~subject to rectifying the findings of the Stage 1 audit.~~

Donushka
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Signature of Team Leader

2021/06/23
.....
Date

.....
Signature of Team Member -1

.....
Date

.....
Signature of Team Member - 2

.....
Date

2. RECOMMENDATION BY CERTIFICATION MANAGER :

Recommended for stage 2 audit.

[Signature]
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Signature of Certification Manager

2021/06/23
.....
Date

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