



IND-EXPO CERTIFICATION LIMITED

INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME

STAGE I AUDIT REPORT

ISO 9001:2015

AGARAKANDE ESTATE

INDEXPO CERTIFICATION LIMITED
MANAGEMENT SYSTEMS CERTIFICATION SCHEME
STAGE I AUDIT REPORT –ISO 9001 : 2015

1. NAME OF ORGANIZATION : Agarakande Estate
2. REGISTERED ADDRESS : Agarakande, Lindula
3. FACTORY/OUTLET/ LOCATIONS/SITES:
4. CONTACT DETAILS :
 - 4.1 Name: Mr. Torans Jayasanka
 - 4.2 Designation: Assistant Manager
 - 4.3 Telephone : Mobile : 0716880862 Fax :
 - E-mail: torans@hattonplantations.lk
5. APPLICABLE STANDARD : ISO 9001:2015
6. FILE NO. : IMSC-QMS-101
7. APPLICABLE SECTOR : Tea
8. NACE CODE : C 10.83
9. SCOPE OF CERTIFICATION : Manufacturing of green tea
10. NO. OF EMPLOYEES : 20
11. DATE OF AUDIT: 11/10/2023
12. TYPE OF AUDIT: Stage I
13. AUDIT TEAM : Isuru Illangakoon (II) – Team Leader
Anuruddha Yasas (AY) – Team Member

14. AUDIT OBJECTIVES:

The objectives of this audit were:

- to confirm that the management system has been planned to conform with all the requirements of the audit standard including availability of documented information required;
- to understand the scope of the management system of the client with regards to operational sites, processes, equipment and the complexity of the operation (levels of controls established particularly in case of multiple site), applicable statutory, regulatory and contractual requirements;
- to confirm the readiness and planned arrangements for the Stage 2 audit.

15. AUDIT FINDINGS :

Serial No.	Relevant Clause of ISO 9001 :2015	Finding	Remarks
1.	7.1.4	Fire extinguishers have been kept on floor without protection	It is corrected.
2.	8.5.1	Main door of trough area is opened to pest access	Corrected. No pest access.
3.	8.1	Opened windows were observed in rolling section	Windows closed in rolling section.
4.	7.1.4	There is not a carpet at electric panel board that may cause electric shocks	Carpet placed at electric panel.
5.	8.6	The quality of final product is checked. However, it is not documented	Satisfied Documented.
6.	9.3	Management review has not been conducted	Has been conducted ASUR
7.	6.2.2	Monitoring plan is not available for quality objectives.	Monitoring plan available.
8.	8.1.e	CCP/OPRP's have not been recorded	Recorded
9.	5.2.2	Quality policy is not communicated to internal staff effectively. Quality policy is not only English and Sinhala version.	Quality policy communicated effectively. Displayed.

16. ANY DEVIATIONS FROM THE AUDIT PLAN AND REASONS: No

17. ANY SIGNIFICANT ISSUES IMPACTING ON THE AUDIT PROGRAMME: No

18. OVERALL CONCLUSIONS:

- The Stage 1 audit was successful in meeting the stated objectives: YES NO
If NO please mention the reasons:

Audit has been carried out on sample basis and the available information. consideration to the findings identified on the report the overall conclusions of the audit are as follow:

- The management system has been planned to conform with all the requirements of the audit standard: YES NO
- The management system is designed to achieve the organization's policy and quality objectives: YES NO
- Based on the information provided, the system is designed to identify and manage compliance with statutory, regulatory and contractual requirements: YES NO
- Verification, validation of appropriate controls have been done: YES NO
- The internal audit and management review planning assure appropriate coverage prior to the Stage 2 Audit: YES NO
- Appropriateness of the certification scope: YES NO


Signature of Team Leader : LSUN Date: 2023/10/11

- Page 04 of 04 is for internal purposes only.

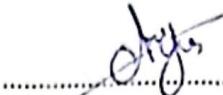


1. RECOMMENDATION BY AUDIT TEAM :

Recommended for stage II Audit


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Signature of Team Leader

2023/10/11
.....
Date


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Signature of Team Member - 1

11/10/2023
.....
Date

.....
Signature of Team Member - 2

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Date

2. RECOMMENDATION BY CERTIFICATION MANAGER :

Recommended for stage II audit


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Signature of Certification Manager

2023/10/15
.....
Date