



IND-EXPO CERTIFICATION LIMITED

INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME

STAGE I AUDIT REPORT

ISO 9001:2015

Lucky Industries

INDEXPO CERTIFICATION LIMITED
MANAGEMENT SYSTEMS CERTIFICATION SCHEME
STAGE I AUDIT REPORT –ISO 9001 : 2015

1. NAME OF ORGANIZATION : Lucky Industries
2. REGISTERED ADDRESS : Dewalakanda estate, Iriyawetiya, Dehiowita
3. FACTORY/OUTLET/ LOCATIONS/SITES: Same as to
4. CONTACT DETAILS :
 - 4.1 Name: Mr. Neel Kodithuwakku 4.2 Designation: Manager- Group HR
 - 4.3 Telephone : 0362267413 Mobile : 0773800092 Office : Fax :
 - 4.4 E-mail: neel@lucky.lk
5. APPLICABLE STANDARD : ISO 9001:2015
6. FILE NO. : IMSC-QMS-0070
7. APPLICABLE SECTOR :
8. NACE CODE: C 14.1
9. SCOPE OF CERTIFICATION: Activities pertaining to the Manufacture of wearing apparel
10. NO. OF EMPLOYEES : 587
11. DATE OF AUDIT: 2020.06.18
12. TYPE OF AUDIT: Stage I
13. AUDIT TEAM :

Mr. Shantha Kuruppumullage	Lead Auditor
Aruna Amaradasa (AA)	Team Member
Mihiri Fernando (MF)	Team Member

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14. AUDIT OBJECTIVES:

The objectives of this audit were:

- to confirm that the management system has been planned to conform with all the requirements of the audit standard including availability of documented information required;
- to understand the scope of the management system of the client with regards to operational sites, processes, equipment and the complexity of the operation (levels of controls established particularly in case of multiple site), applicable statutory, regulatory and contractual requirements;
- To confirm the readiness and planned arrangements for the Stage 2 audit.

15. AUDIT FINDINGS:

Serial No.	Relevant Clause of ISO 9001 :2015	Finding	Remarks
1	7.1.5	Preventive maintenance schedules need to be established	} Verified
3	7.2	Training evaluations have not been carried out for certain trainings	
4	7.1.3	Loading bay need to be covered to prevent accident	
7	6.2	Quality objectives have been established however they are not SMART	
6	9.2	Internal audit has not been carried out	
7	9.1.3	Customer feed back analysis has not been carried out	

16. ANY DEVIATIONS FROM THE AUDIT PLAN AND REASONS: None

17. ANY SIGNIFICANT ISSUES IMPACTING ON THE AUDIT PROGRAMME:

None

18. OVERALL CONCLUSIONS:

- The Stage I audit was successful in meeting the stated objectives: YES NO
If NO please mention the reasons:

Audit has been carried out on sample basis and the available information. consideration to the findings identified on the report the overall conclusions of the audit are as follow:

- The management system has been planned to conform with all the requirements of the audit standard: YES NO
- The management system is designed to achieve the organization's policy and quality objectives: YES NO
- Based on the information provided, the system is designed to identify and manage compliance with statutory, regulatory and contractual requirements: YES NO
- Verification, validation of appropriate controls have been done: YES NO
- The internal audit and management review planning assure appropriate coverage prior to the Stage 2 Audit: YES NO
- Appropriateness of the certification scope. YES NO

Signature of Team Leader :



Director

Mr. Shantha Kuruppumullage

Date: 2020.06.18

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1. RECOMMENDATION BY AUDIT TEAM :

.....  2020-06-18.....
Director
Signature of Team Leader Date

.....  2020/06/18
Signature of Team Member - 1 Date

.....
Signature of Team Member - 2 Date

2. RECOMMENDATION BY CERTIFICATION MANAGER :

.....  2020/06/26
for Signature of Certification Manager Date
Recommended for Stage I Audit