



IND-EXPO CERTIFICATION LIMITED

INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME

STAGE I AUDIT REPORT

ISO 9001:2015

**Lallans Sports Goods Manufacturers
(Pvt) Ltd**

INDEXPO CERTIFICATION LIMITED
MANAGEMENT SYSTEMS CERTIFICATION SCHEME
STAGE I AUDIT REPORT –ISO 9001 : 2015

1. **NAME OF ORGANIZATION** : Lallans Sports Goods Manufacturers (Pvt) Ltd
2. **REGISTERED ADDRESS** : 30/2, Colombo Road, Malangama, Hidellana, Ratnapura
3. **FACTORY/OUTLET/ LOCATIONS/SITES**: Industrial Zone, stage II, Paradise, Kuruwita
4. **CONTACT DETAILS** :
 - 4.1 **Name** : Mr. M.D.L.K Jayasinghe. **Designation**: Production Manager
 - 4.3 **Telephone** : 045- 2263922 **Mobile** : 070- 2863060 **Fax** :--

E-mail : lallansperera@hotmail.com
5. **APPLICABLE STANDARD** : ISO 9001:2015
6. **FILE NO.** : IMSC-QMS-032
7. **APPLICABLE SECTOR** : Manufacturing of sports goods
8. **NACE CODE** :C 32.9.9
9. **SCOPE OF CERTIFICATION** : Manufacturing of sports goods
10. **NO. OF EMPLOYEES** : 32
11. **DATE OF AUDIT**: 2017- 09- 07
12. **TYPE OF AUDIT**: Stage I
13. **AUDIT TEAM** :
Mr.D.N.S.Kuruppumullage Lead Auditor

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14. AUDIT OBJECTIVES:

The objectives of this audit were:

- to confirm that the management system has been planned to conform with all the requirements of the audit standard including availability of documented information required;
- to understand the scope of the management system of the client with regards to operational sites, processes, equipment and the complexity of the operation(levels of controls established particularly in case of multiple site), applicable statutory, regulatory and contractual requirements;
- to confirm the readiness and planned arrangements for the Stage 2 audit.

15. AUDIT FINDINGS :

Serial No.	Relevant Clause of ISO 9001 :2015	Finding	Remarks
1	4.3	Scope which is not applicable in QMS	Implementation
2	5.2.2	The quality policy has not effectively communicated and understood by the personnel working for the factory.	Satisfactory
3	7.1.5.2 a	Certain equipment used for measurement of processes and products has not verified and calibrated	NC raised
4			
5	9.2	Internal audit plan is not available	Implementation
6	6.2.2	Although quality objectives have been identified inline with the organizational strategic directions, there is no evidence of effective planning to achieve the same	Satisfactory
7	10.2	There is no evidence of addressing product Nonconformities and corrective actions for issues arising between internal audits	
8	9.3	Management review which has been conducted has not covered all the requirements mentioned in the standard.	

16. ANY DEVIATIONS FROM THE AUDIT PLAN AND REASONS :None

17. ANY SIGNIFICANT ISSUES IMPACTING ON THE AUDIT PROGRAMME :None

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18. OVERALL CONCLUSIONS:

- The Stage 1 audit was successful in meeting the stated objectives: YES NO
If NO please mention the reasons:

Audit has been carried out on sample basis and the available information. consideration to the findings identified on the report the overall conclusions of the audit are as follow:

- The management system has been planned to conform with all the requirements of the audit standard: YES NO
- The management system is designed to achieve the organization's policy and quality objectives: YES NO
- Based on the information provided, the system is designed to identify and manage compliance with statutory, regulatory and contractual requirements: YES NO
- Verification, validation of appropriate controls have been done: YES NO
- The internal audit and management review planning assure appropriate coverage prior to the Stage 2 Audit: YES NO
- Appropriateness of the certification scope: YES NO

Signature of Team Leader :  Date: 2017- 09- 07

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1. RECOMMENDATION BY AUDIT TEAM :

Recommended for Stage 2 audit subject to rectifying the findings of the Stage 1 audit and recommended time period to proceed stage 2 audit shall not exceed six month.



Signature of Team Leader

..... 2017- 09- 07.....

Date

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Signature of Team Member -1

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Date

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Signature of Team Member - 2

.....

Date

2. RECOMMENDATION BY CERTIFICATION MANAGER :

Recommended for stage II audit.



Signature of Certification Manager

..... 2017/09/15.....

Date