

Ind – Expo Certification (Pvt) Limited

'A joint venture of Ceylon National Chamber of Industries and National Chamber of Exporters of Sri Lanka'

2012-02-13

Mr. SugathVithanage
Managing Director
Supreme Lanka Agro Products (Pvt) Ltd;
No.405/3F, Samanpura,
Kottawa.


Dear Sir,

STAGE II AUDIT REPORT

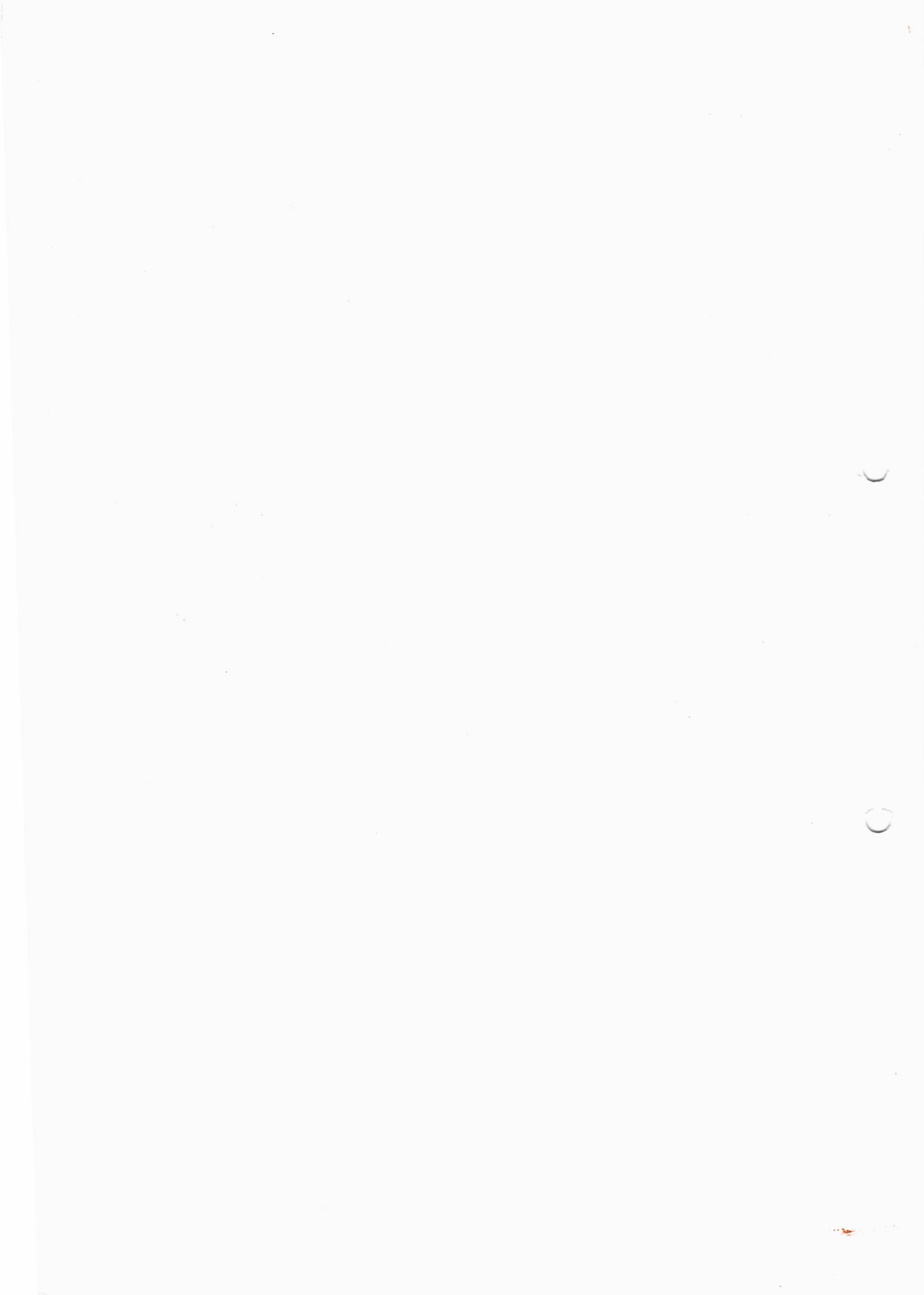
Please find the Stage II audit report of your Food Safety Management System.

Thank you,

Yours faithfully



Hasanika Piyasena
Certification Manager





INDEXPO CERTIFICATION (PVT) LTD;

**INTEGRATED MANAGEMENT SYSTEMS
CERTIFICATION SCHEME**

STAGE II AUDIT REPORT

ISO 22000:2005

Supreme Lanka Agro Products (Pvt) Ltd;



**INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME
STAGE II AUDIT REPORT – ISO 22000:2005**

1. **NAME OF ORGANIZATION** : Supreme Lanka AgroProducts (Pvt) Ltd;
2. **ADDRESS** :403/3F, Samanpura, Kottawa.
3. **ASSESSMENT SITE** :Same as 2
4. **CONTACT DETAILS**
 - 4.1 **Name** : Mr.SugathVithanage **Designation** : Managing Director
 - 4.2 **Tel** :0112843704 **Mobile** : 0773860400 **Fax** : 0112843704
 - 4.3 **E-mail** :supremelanka@yahoo.com
5. **NO. OF EMPLOYEES** : Fifteen (15)
6. **APPLICABLE STANDARD** : ISO 22000:2005
7. **FILE NO.** : IMSC-FSMS-04
8. **SCOPE OF CERTIFICATION**:Processing of drying dried sprats and dried fish
9. **APPLICABLE SECTOR** : Fisheries
10. **DATE OF STAGE II AUDIT** : 2012-01-26
11. **AUDIT TEAM** : Mr. S L Ginige (Team Leader)
Ms. F Weerawardena (Team Member)
Mr.Sapumal Kapukotuwa (Trainee Auditor)
12. **GENERAL** :

12.1 Control of documents :Documented procedure covering the relevant requirements of ISO 22000 :2005 was available and implemented. However the formats for non-conformity report, corrective action report have not been included in the Master list of records. (NCR 01)
12.2 Control of records :Documented procedure covering the relevant requirements of ISO 22000 :2005 was available and implemented. However the non-conformity report, customer complaint record and internal audit report have not been identified in the Records matrix.(NCR 02)
12.3 Top management commitment :Top management commitment is evident. Responsibilities and authorities defined and communicated to relevant personnel. Work instructions available and followed. Managing Director functions as the Food Safety Team Leader.
12.4 Communication :Managing Director holds the responsibility for communication. Internal communication is through face to face discussions, meetings .



**INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME
STAGE II AUDIT REPORT – ISO 22000:2005**

12.5 Emergency preparedness : A documented procedure is available for emergency preparedness and staff is aware of the mitigation measures to be taken.

12.6 Management review : A documented procedure is available however there is no evidence available for the discussion of verification activities results and external audit results. (NCR 03).

12.7 Resource management : Infrastructure and work environment and other resources have necessary to implement and maintain the FSMS have been provided. Training on food safety has been provided however the effectiveness of training has not been evaluated. (NCR 04).

12.8 Planning and realization of safe food : PRPs required by ISO 22000:2005 have been documented in the FSMS manual and implemented. However the following deviations were observed.

- a) Entrance to the packing from rest room is left open without a door or flap curtain.
- b) A gap was observed between the wall of the cleaning section and the roof.
- c) Hand washing facility for the toilet is not properly located with hand drying facility.

(NCR 05)

Cleaning and disinfection PRPs are not complete and appropriate. It does not describe cleaning and disinfection methods and monitoring & verification of those activities. (NCR 06)

12.9 HACCP plan : Two CCPs have been identified in the HACCP plan , however the actual operations on the floor had not been documented (drying and sealing). Also the critical limits, methods of monitoring , correction and corrective actions, verification, responsibility and records have not been included. (NCR 07)

12.10 Verification planning : Verification plan documented. PRPs, hazard analysis and OPRPs are verified.

Internal audits have been conducted as per the frequency defined in the procedure and the NCRs have been closed.

13. Major non-conformities : None

14. Minor non-conformities : Seven (07)

14.1 Formats for non-conformity report, corrective action report have not been included in the Master list of records.

14.2 Non-conformity report, customer complaint record and internal audit report have not been identified in the Records matrix.

14.3 No evidence available for the discussion of verification activities results and external audit results.

14.4 Effectiveness of training has not been evaluated.



**INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME
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14.5 The following deviations were observed.

- a) Entrance to the packing from the rest room is left open without a door or flap curtain.
- b) A gap was observed between the wall of the cleaning section and the roof.
- c) Hand washing facility for the toilet is not properly located with hand drying facility.

14.6 Cleaning and disinfection PRPs are not complete and appropriate. It does not describe cleaning and disinfection methods and monitoring & verification of these activities.

14.7 The critical limits, methods of monitoring, correction and corrective actions, verification, responsibility and records have not been included.

15. **Corrective action requests (CAR)** : Corrective action requests have been submitted with proposed corrective actions agreed upon.

16. Observations/Opportunities for improvement

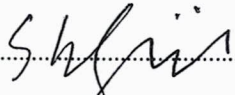
16.1 Existing control measures are not described.

16.2 PRPs need to be verified during the implementation of FSMS.

16.3 Some details of rework and waste generation should be shown in the flow diagram.

16.4 Delivery records should bear the batch numbers in more detail.

16.5 Documented procedures needs improvement.

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SIGNATURE OF TEAM LEADER

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2012-02-13
DATE

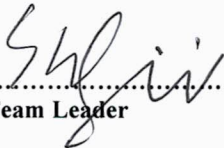
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17. RECOMMENDATION BY TEAM LEADER :

Recommended for the grant of certification


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Signature of Team Leader

2012-02-13
.....
Date


18. RECOMMENDATION BY CERTIFICATION MANAGER :

NCR'S are closed. Thus recommend for submission to the committee


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Signature of Certification Manager

2012-02-13
.....
Date

19. APPROVAL FOR SUBMISSION TO THE CERTIFICATION COMMITTEE :


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Signature of Director

2012-02-13
.....
Date

