



INDEXPO CERTIFICATION LIMITED
MANAGEMENT SYSTEMS CERTIFICATION SCHEME
AUDIT PLAN SURVEILLANCE - FSMS

Name of the organization : Rockland Distilleries (Pvt) Ltd
Assessment site : 7E, Ovitamulla Thotupola road, Welgama, Thiththapaththara
Type of audit : Surveillance I
Applicable standard : ISO 22000:2018
Scope : Activities pertaining to manufacturing of alcohol based beverages and hand sanitizers
Objective : To determine compliance of the FSMS with the requirements of ISO 22000:2018
Date of audit : 15.10.2021
Audit Criteria : ISO 22000:2018, ISO 19011:2018 and Relevant regulatory requirements

Audit team :
 Mr. D.N.S. Kuruppumullage (DNSK) Team Leader
 Ms. Buddhika Sajeewani (BS) Team Member

0930 h – 0945 h Opening meeting
 0945 h – 0950 h Tea Break
 0950 h – 1015 h Review of documents & previous audit findings
 1015 h – 1130 h Visit to the site

| Time | Management | Management |
|-----------------|--|--|
| 1130 h -1215 h | Understanding the Organization and its context (4.1) Understanding the needs and expectation of interest parties (4.2) Determining the scope of FSMS (4.3) FSMS and its Processes (4.4) | Operational planning and control (8.1) Prerequisite programs (8.2) Traceability system (8.3) |
| | DNSK | BS |
| 1215 h – 1300 h | Management/ Production | Management/ Production |
| | Actions to address risks and opportunities (6.1) Objectives of the FSMS and planning to achieve them (6.2) Planning of changes (6.3) | Emergency preparedness and response (8.4) Hazard control (8.5) |
| | DNSK | BS |
| 1300 h – 1330 h | LUNCH BREAK | |
| 1330 h – 1415 h | Resources (7.1) Competence (7.2) Awareness (7.3) Communication (7.4) Documented information (7.5) | Updating the information specifying the PRPs and the hazard control plan (8.6) Control of monitoring & measuring (8.7) |
| | DNSK | BS |
| 1415 h – 1500 h | Management/ Production | Management/ Production |
| | Leadership and commitment (5.1) Policy (5.2) Organizational roles, responsibilities and authorities(5.3) | Verification related to PRPs and hazard control plan (8.8) Control of product and process nonconformities (8.9) |
| | DNSK | BS |
| 1500h – 1600 h | Management | Management/ Production |
| | Monitoring, measurement, analysis and evaluation (9.1) Internal Audit (9.2) Management review (9.3) | Nonconformity and corrective action (10.1) Continual improvement (10.2) Update to the food safety management system (10.3) |
| | DNSK | BS |

1600h – 1610h Tea Break
 1610 h -1630 h Auditors' review
 1630 h – 1700 h Closing Meeting (Scope confirmation, Acknowledgement of NCRs/ Handing over of report/ Use of Logo)

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Reviewed and approved by : Director

Issued by : Management Representative